

18 NCAC 06A .2107 CONTENTS OF FORM NCE-LPO

(a) A Form NCE-LPO shall include:

- (1) all information required pursuant to Rule .2008 of this Subchapter;
- (2) all websites to be used by the issuer in connection with the LPO;
- (3) all social media accounts to be used by the issuer in connection with the LPO; and
- (4) an attestation that the issuer is not one of the prohibited entities in Rule .2004(h) of this Subchapter or Rule .2117 of this Section.

(b) If any information on the Form NCE-LPO becomes inaccurate or misleading, an issuer shall amend its Form NCE-LPO by filing with the Administrator within 10 business days an amended Form NCE-LPO.

History Note: *Authority* G.S. 78A-17.1(a)(5); 78A-17.1(f); 78A-49(a); 78A-49(d); 78A-64; S.L. 2016-103, s.4.(a);
Eff. April 1, 2017 to expire on April 1, 2026.